

UNDP/PSD DUE DILIGENCE

Internal, not for circulation

i		Introduction
ii		Full legal name of the prospective partner company
1		Company description/background
2		Please briefly describe the objective and intended purpose for this cooperation. Check all appropriate: <input type="checkbox"/> Financial contribution <input type="checkbox"/> Pro bono contribution <input type="checkbox"/> Advocacy/programmatic partnership (please specify)
3		Has the company partnered with any UN entity? <input type="checkbox"/> Yes <input type="checkbox"/> No If so, please provide details best to your knowledge.
4		Please disclose any controversies the company has been involved in pertaining to the Environmental, Social and Corporate Governance (including violating any of the United Nations sanctions).
5		Please disclose any potential political risks likely to arise during the partnership with the company (these may be related to the United Nations sanctions).
6		Is the company a participant in the <u>UN Global Compact</u>? <input type="checkbox"/> Yes <input type="checkbox"/> No (If YES, please answer 6.1; if NO, please proceed to 7)
6.1	a)	Is the company actively communicating its progress and the level of reporting? See: General Communication on Progress (COP) <input type="checkbox"/> Yes <input type="checkbox"/> No
	b)	Is the company a member of GC platforms (e.g. Caring for Climate)? If so, please list them. <input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

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c) Is the company engaged in any [Global Compact Local Networks](#)? If so, please list them.

7 Does the company have any sustainability-related certifications or reporting (e.g. [SA8000](#), [AA1000](#), or [GRI Principles](#))? If so, please provide details.

Yes No

8 Is the company listed on any sustainability or ESG-related indexes (e.g. [FTSE4Good](#), [Dow Jones Sustainability Indexes](#), etc.)? If so, please list them.

Yes No

9 List any major socially responsible institutional investors that currently invest in the company (if applicable)?

N/A

10 Does the company abide by or adhere to any voluntary sustainability or ethical-related principles or guidelines? (e.g. [Principles for Responsible Investment](#), [Extractive Industries Transparency Initiative](#), [Voluntary Principles on Security and Human Rights](#), [Equator Principles](#), or any other sector-specific initiatives). If so, please list them.

Yes No

11 How is the industry sector in which the company works viewed by the local community at large? (e.g. if it's an extractive industry, are there any conflicts?)

12 To the best of your knowledge, has the company or any of its subsidiaries been involved in the following activities (if the answer is yes to any, please describe level of involvement):

a) Sale or manufacture of replica arms marketed to children

Yes No

b) Sale or manufacture of any other armaments or non-dual use components for armaments

Yes No

c) Marketing of breast milk substitutes contrary to the WHO Guidelines on Marketing Breast Milk Substitutes

Yes No

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13 Is the company on the list of the UN suspended vendors? (please refer to the [United Nations Global Marketplace website](#)).

Yes No

14 What sources were checked for this company?

15 Is the information for this procedure provided by the company assessed? If so, please describe how the accuracy of the information is verified externally.

Yes No N/A

16 Please use the space below to provide any additional information.